

Audit and Compliance Committee
Meeting
Wednesday, August 15, 2012 9:00 AM

Hilton University of Houston Hotel, Conrad
Hilton Ballroom, Second Floor
4800 Calhoun Rd
Houston, TX 77204

Agenda

I. Audit and Compliance Committee Meeting

I.A. Call to Order

Presenter: Chair of the Committee, Nandita V. Berry

I.B. Approval of Committee Meeting Minutes

Presenter: Chair of the Committee, Nandita V. Berry

I.C. Report on Long-Range Internal Audit Plan for FY 2013-2015 – University of Houston System

Presenter: Chief Audit Executive, Don Guyton

I.D. Report on Audit and Compliance Committee Charter and Checklist – University of Houston System

Presenter: Chief Audit Executive, Don Guyton

I.E. Report on Audit and Compliance Committee Planner – University of Houston System

Presenter: Chief Audit Executive

I.F. Report on External Audit Reports – State Auditor Reports - University of Houston System

· SAO Report No. 12-038, An Audit Report Related to The National Research University Fund

· SAO Report No. 12-035, A Report on State Agency, University, and Community College District Compliance with the Public Funds Investment Act and Investment Reporting Requirements

Presenter: Chief Audit Executive Don Guyton

I.G. Report on Internal Audit Report – Briefing Booklet – University of Houston System

Presenter: Chief Audit Executive, Don Guyton

I.H. Report on Internal Audit Peer Review - University of Houston System

Presenter: Chief Audit Executive, Don Guyton

I.I. Report on Institutional Compliance Status Report for the Three Months Ended June 30, 2012 and Annual Plan for 2013 – University of Houston System

Presenter: Chief Audit Executive, Don Guyton

I.J. Presentation – Overview of UHS policies and procedures for investigating and acting on reports of non-compliance and criminal activity – University of Houston System

Presenter: Chief Audit Executive, Don Guyton

I.K. Adjourn

Presenter: Chair of the Committee, Nandita V. Berry

