
AGENDA

UNIVERSITY OF HOUSTON SYSTEM **AUDIT AND COMPLIANCE COMMITTEE MEETING**

DATE: Thursday, February 27, 2020

TIME: 2:30 PM

PLACE: Hilton University of Houston Hotel
Conrad Hilton Ballroom, Second Floor
4450 University Drive
Houston, Texas 77204

Chair: Jack B. Moore
Vice Chair: Steve I. Chazen
Members: Durga D. Agrawal
Gerald McElvy
John A. McCall, Jr.
Tilman J. Fertitta, Ex Officio

I. **Audit and Compliance Committee**

Presenter: Chair Jack B. Moore

A. Call to Order

Presenter: Chair Jack B. Moore

B. Approval of Committee Minutes

- November 14, 2019, Audit and Compliance Committee Meeting

Action: Approval

Presenter: Chair Jack B. Moore

C. Ratification and Approval is requested for the appointment of the Chief Audit and Compliance Executive for the University of Houston System - University of Houston System

Action: Approval

Presenter: Chancellor Renu Khator

D. Report on University of Houston System, Board of Regents' Policies on Internal Audit, Institutional Compliance and Identity Theft Prevention - University of Houston System

Action: Information

Presenter: Mr. Phillip Hurd, Chief Audit Executive

E. Report on University of Houston System, FY2019 External Audit Reports - University of Houston System

- UH Athletics Department, Independent Accountants' Report on the Application of Agreed-Upon Procedures
- UH Charter School, Audit Report and Financial Statements
- UHS Endowment Fund, Auditor's Report and Basic Financial Statements
- UH Cancer Prevention Research Institute of Texas Grand Awards, Independent Accountant's Report on Application of Agreed-Upon Procedures

Action: Information

Presenter: Mr. Phillip Hurd, Chief Audit Executive

F. Report on External Audit Report - State Auditor Report 20-020 Contracting at the University of Houston, January 2020 - University of Houston System

Action: Information

Presenter: Mr. Phillip Hurd, Chief Audit Executive

G. Report on University of Houston System Institutional Compliance Status Report for the three months ended December 31, 2019 - University of Houston System

Action: Information

Presenter: Mr. Phillip Hurd, Chief Audit Executive

H. University of Houston System - Presentation on new legislation requiring Reports on Harassment, Sexual Assault, Dating Violence, and Stalking - University of Houston System

Action: Information

Presenter: Mr. Phillip Hurd, Chief Audit Executive

I. Report on University of Houston System, Internal Audit Briefing Booklet including Audit Activity Outline/Audit Plan Status, Internal Audit Reports - University of Houston System

Action: Information

Presenter: Mr. Phillip Hurd, Chief Audit Executive

J. Report on University of Houston System, UHS Financial Statements Certifications, FY2019 - University of Houston System

Action: Information

Presenter: Mr. Phillip Hurd, Chief Audit Executive

II. **Executive Session**

Presenter: Chair Jack B. Moore

A. Consultation with System Attorney Regarding Legal Matters and/or Contemplated Litigation or Settlement Offers

TEXAS GOV'T CODE SECTION 551.071

B. Deliberations Regarding the Purchase, Exchange, Sale or Value of Real Property
TEXAS GOV'T CODE SECTION 551.072

C. Deliberations Regarding a Prospective Gift
TEXAS GOV'T CODE SECTION 551.073

D. Personnel Matters Relating to Appointment, Employment, Evaluation, Assignment, Duties, Discipline, or Dismissal of Officers or Employees including, but not limited to the Chancellor, Presidents, Vice Chancellors, in the Division of Athletics and members of the Board of Regents
TEXAS GOV'T CODE SECTION 551.074

E. Confidentiality of government information related to security or infrastructure issues for computers
TEXAS GOV'T CODE SECTION 552.139

III. **Report and Action from Executive Session**

Presenter: Chair Jack B. Moore

IV. **Adjourn**

Presenter: Chair Jack B. Moore