

Nueces County Hospital District  
 Quarterly Investment Report  
 July 1, 2016 - September 30, 2016

Summary Holdings Statistics:

<u>Portfolio as of June 30, 2016</u>		<u>Portfolio as of September 30, 2016</u>	
Beginning Book Value	\$78,037,127	Ending Book Value	\$65,022,272
Beginning Market Value	\$78,046,743	Ending Market Value	\$65,024,818
Unrealized Gain/Loss	\$9,616	Unrealized Gain/Loss	\$2,546
Beginning WAM	214 Days	Ending WAM	326 Days
Change in Market Value	(\$13,021,925) <sup>1</sup>		
Investment Income for Period	\$111,234		
Quarter Average Yield - NCHD	0.610%		
Quarter Average Yield - 6 Mth T-Bill	0.440%		

This report reflects Nueces County Hospital District's investment policy and strategies in accordance with the Public Funds Investment Act.

INVESTMENT OFFICERS:

  
 JONNY F. HIPPI, ADMINISTRATOR

  
 DENA BRUNI, ASSISTANT ADMINISTRATOR

  
 DONNA LITTLEFIELD, DIRECTOR OF ACCOUNTING & FINANCE

Notes

1. On a quarterly basis, the value of the portfolio declined by \$13,021,925. However, of that decline, \$13,014,855 represents net expenditures by the District for operations. There was a net unrealized loss of \$7,070, but the District does not sell securities prior to maturity. Therefore, the loss is for notation purposes only.



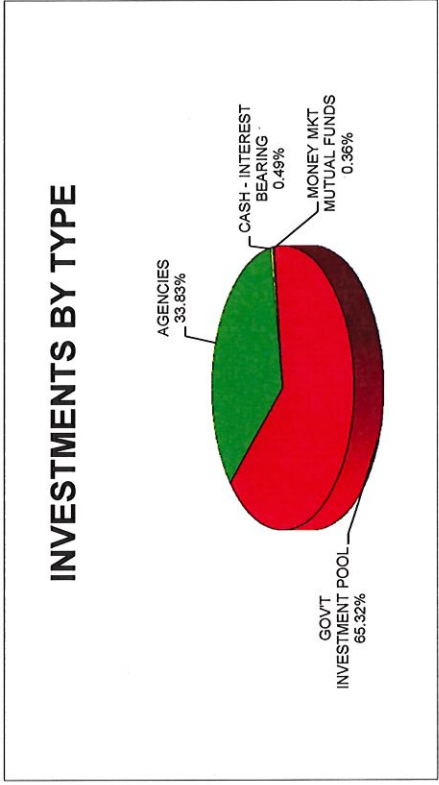
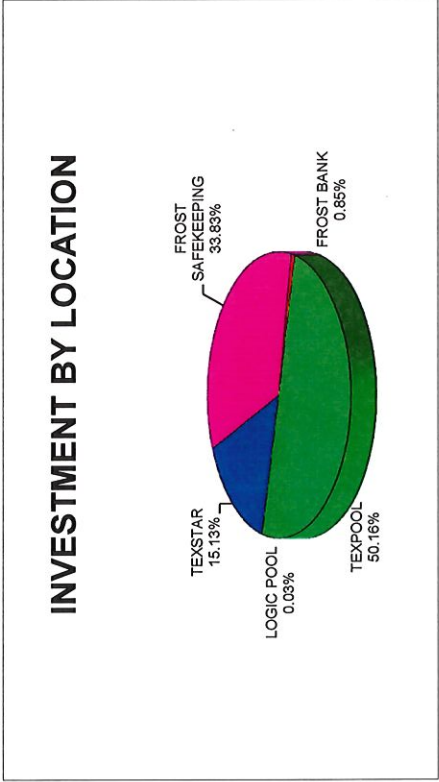
Nueces County Hospital District  
Investment Transaction Activity  
July 1, 2016 - September 30, 2016

Settle Date	Maturity	Call Date	Fund	Type	CUSIP	Coupon	Price	Par	Principal	Acc'd Interest	Total Settlement	Yield to Mat/Call	Broker
<u>Purchases</u>													
07/26/2016	07/26/2019	10/26/2016	Indigent Care Fund	FHLMC - Qtrly Call	3134G9Q75	1.250%	100.0000	4,000,000.00	4,000,000.00	0.00	4,000,000.00	1.250%	Mizuho
09/13/2016	09/13/2019	09/13/2017	Indigent Care Fund	FNMA - 1x Call	3136G34X0	1.150%	100.0000	4,000,000.00	4,000,000.00	0.00	4,000,000.00	1.150%	Stifel
									<b>Total Purchases:</b>	<b>8,000,000.00</b>	<b>0.00</b>	<b>8,000,000.00</b>	
<u>Maturities/Calls</u>													
11/30/2015	11/26/2018	08/26/2016	Indigent Care Fund	FHLMC - Qtrly Call	3134G93X5	1.350%	100.0000	3,000,000.00	3,000,000.00	10,125.00	3,010,125.00	1.350%	Mizuho
									<b>Total Maturities/Calls:</b>	<b>3,000,000.00</b>	<b>10,125.00</b>	<b>3,010,125.00</b>	

**NUECES COUNTY HOSPITAL DISTRICT  
INVESTMENTS SUMMARY BY TYPE & LOCATION  
FY 2016 4TH QUARTER (JULY 1 - SEPTEMBER 30, 2016)**

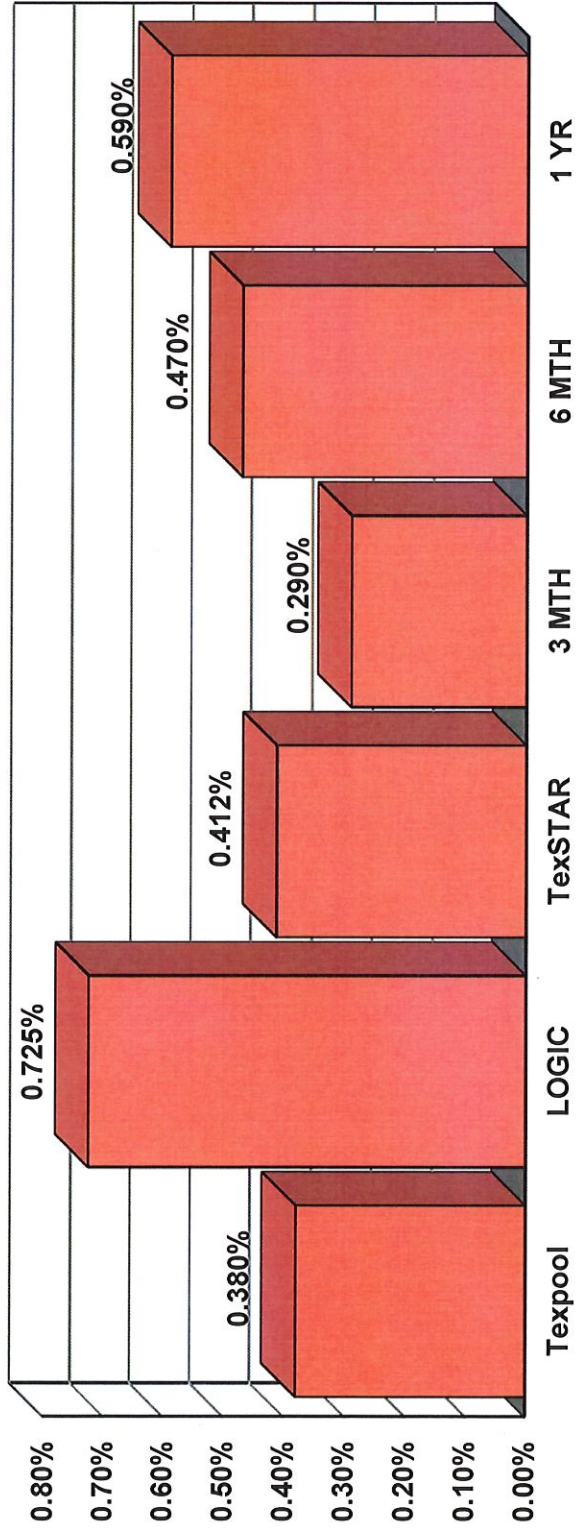
	FROST		TEXPOOL	LOGIC	TEXSTAR	FROST		TOTAL	PERCENT BY TYPE OF INVESTMENT
	BANK	BANK				SAFEKEEPING	SAFEKEEPING		
CASH - INTEREST BEARING	\$316,741	\$0	\$0	\$0	\$0	\$0	\$0	\$316,741	0.5%
MONEY MKT MUTUAL FUNDS	\$235,085	\$0	\$0	\$0	\$0	\$0	\$0	\$235,085	0.4%
GOV'T INVESTMENT POOLS	\$0	\$32,614,143	\$17,413	\$9,838,890	\$0	\$0	\$0	\$42,470,446	65.3%
CERTIFICATES OF DEPOSIT	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0.0%
TREASURY NOTES AND BONDS	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0.0%
AGENCIES	\$0	\$0	\$0	\$0	\$0	\$22,000,000	\$22,000,000	\$22,000,000	33.8%
<b>TOTAL INVESTMENTS</b>	<b>\$551,826</b>	<b>\$32,614,143</b>	<b>\$17,413</b>	<b>\$9,838,890</b>	<b>\$22,000,000</b>	<b>\$65,022,272</b>	<b>\$65,022,272</b>	<b>100.0%</b>	

<b>PERCENT BY HOLDER OF INVESTMENTS</b>	<b>0.95%</b>	<b>50.16%</b>	<b>0.03%</b>	<b>15.13%</b>	<b>33.83%</b>	<b>100.00%</b>
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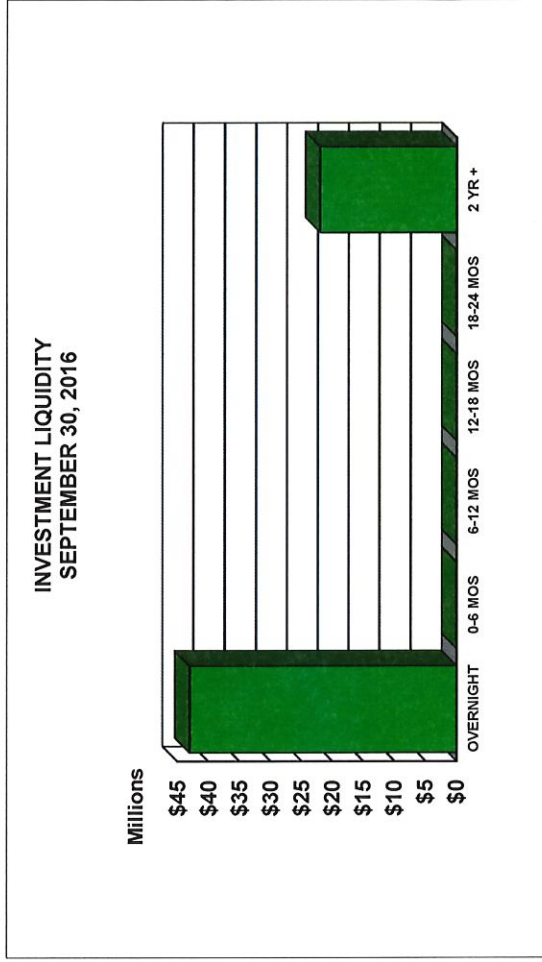


# NUECES COUNTY HOSPITAL DISTRICT POOL RATES V. TREASURIES SEPTEMBER 30, 2016

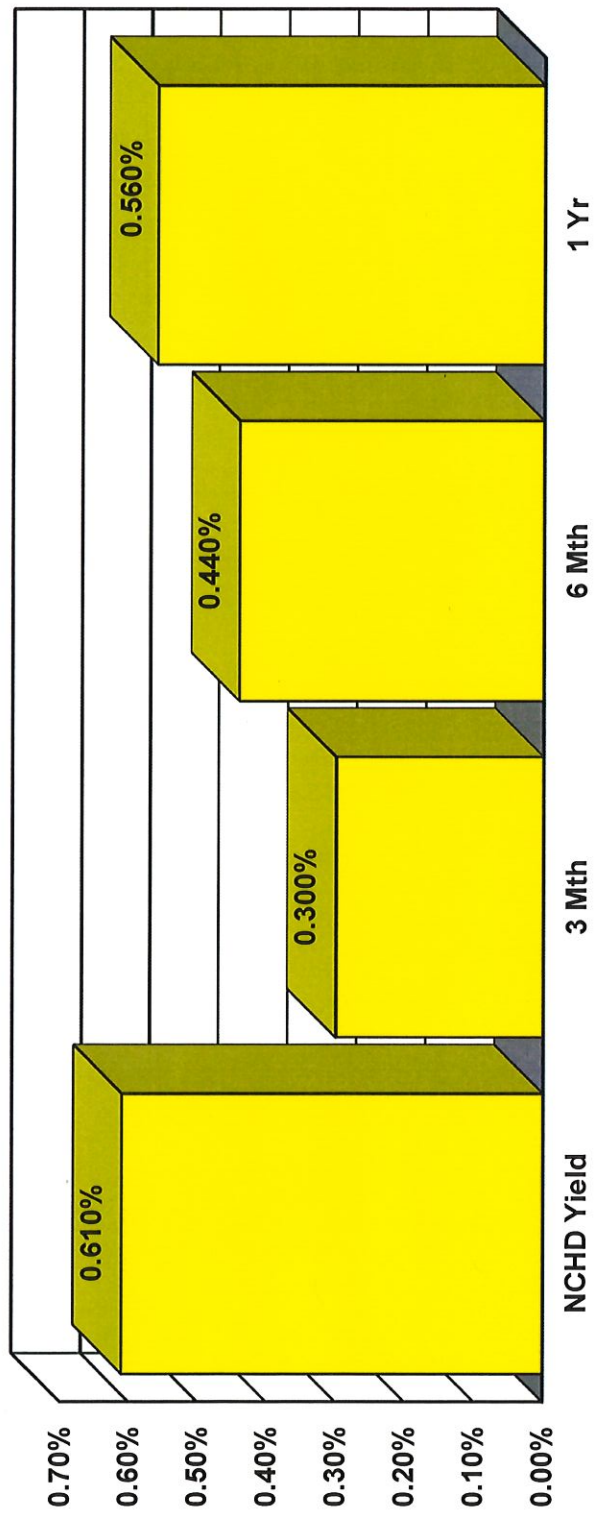


**NUECES COUNTY HOSPITAL DISTRICT  
 INVESTMENT SUMMARY BY LIQUIDITY  
 FY 2016 4TH QUARTER (JULY 1 - SEPTEMBER 30, 2016)**

<u>INVESTMENT-YRS TO MATURITY</u>	<u>AMOUNT</u>	
CASH & CASH EQUIVALENTS	\$43,022,272	<b>66.2%</b>
MATURES IN 0-6 MONTHS	\$0	<b>0.0%</b>
MATURES IN 6-12 MONTHS	\$0	<b>0.0%</b>
MATURES IN 12-18 MONTHS	\$0	<b>0.0%</b>
MATURES IN 18-24 MONTHS	\$0	<b>0.0%</b>
MATURES IN OVER 2 YEARS	\$22,000,000	<b>33.8%</b>
<b>TOTAL</b>	<b>\$65,022,272</b>	<b>100.0%</b>



# NUECES COUNTY HOSPITAL DISTRICT QUARTERLY BENCHMARK COMPARISON SEPTEMBER 30, 2016



**Nueces County Hospital District  
Investment Policy Compliance  
For the Quarter Ended September 30, 2016**

These are the major compliance issues regarding our Investment policy.  
Any negative responses are explained below.

	Yes	No
1.) Are at least 33% of the District's investments backed by U.S. Government securities to assure return of principal?	<u>X</u>	_____
2.) Are all investments maturing 3 years or less from the date of purchase?	<u>X</u>	_____
3.) Are at least 10% of the investments short-term maturing within 60-90 days?	<u>X</u>	_____
4.) Is the weighted average maturity of the District's portfolio 12 months or less?	<u>X</u>	_____
5.) With the exception of U.S. Treasury Bills, U.S. Treasury Notes and Bonds, are less than 20% of the District's portfolio invested with a common maturity date, a specific issue or a specific type or class.	<u>X</u>	_____
6.) Does the District's weighted average yield exceed the U.S. Treasury Bill benchmark compared to the District's maximum weighted average maturity for each class of security?		
6 Month Treasury	<u>X</u>	_____
District		
U.S. Treasury	<u>0.610%</u>	<u>0.440%</u>