

A RESOLUTION ADOPTING AUTHORIZED BROKER/DEALER LIST

WHEREAS, the Public Funds Investment Act (Texas Government Code, Chapter 2256) governs local government investment; and

WHEREAS, the Public Fund Investment Act (Section 2256.025) requires the Board no less than annually, to review, revise and adopt a list of qualified broker/dealers authorized to engage in investment transactions with the District; and

WHEREAS, banks used for time and demand deposits represent depository relationships and are not broker/dealer firms they are not included on the broker/dealer list; and

WHEREAS, investment pools must be approved by a specific resolution by the governing body; they are not included in the broker/dealer list; and

WHEREAS, the attached list of broker/dealers is recommended for approval.

NOW, THEREFORE, BE IT RESOLVED

That the District has complied with the requirements of the Public Funds Investment Act and the following list of broker/dealers is hereby adopted.

Academy Securities
Alamo Capital
Arbor Research & Trading, LLC
Bank of America/Merrill Lynch
Barclays Capital Inc.
Blaylock Van, LLC
BMO Capital Markets
BNY Capital Markets
BOK Financial
Brean Capital
Cantor Fitzgerald & Co.
CastleOak Securities
Citigroup Global Markets, Inc.
D.A. Davidson
Daiwa Capital Markets
FHN Financial
Goldman Sachs & Co.
Great Pacific
Hilltop Securities
Huntington Bank
InspereX (formerly InCapital)
Jane Street

Jefferies
JPMorgan Securities
Keybanc Capital Markets
Loop Capital Markets
MarketAxess Corporation
Mizuho Securities
Moreton Capital Markets
Morgan Stanley
Multi-Bank Securities
Oppenheimer
Piper Sandler & Co.
PNC Capital Markets LLC
Raymond James
RBC Securities
Rice Financial
Robert W. Baird
Santander US Capital Markets
Siebert Williams Shank
Stephens Inc.
Stifel Nicolaus
StoneX Group Inc.
TD Securities

Truist Securities Inc.
UBS Financial
UMB Financial Services

U.S. Bancorp Investments
Wells Fargo

Before transacting business with any broker/dealer, each will be sent a copy of the Investment Policy for written certification of their review in accordance with the Act and the adopted District Investment Policy. If major changes are made to the Policy, recertification will be completed.

PASSED, ADOPTED AND APPROVED by the Board of Weatherford ISD this the 27th day of July, 2026.

APPROVED:

Brian Catlin, Board President